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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lan BO et al.

Serial No. 10/699,913

Art Unit:

Filed: November 4, 2003

Examiner:

For: An MPEG-4 Live Unicast

Video Streaming System In Wireless Network With End-

to-End Bitrate-Based Congestion Control Atty Docket: 0124/0016

INFORMATION DISCLOSURE STATEMENT FILED PURSUANT TO 37 CFR 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

These documents are being submitted (check only one of the next four boxes):

[] within three months of the filing of the above U.S. national

application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))

[XX] before receiving a first Office Action on the merits of the above-

identified patent application (no fee is due); (37 CFR §1.97(b))

following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR $\S1.97(c)$

<u>OR</u>

- following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)
- The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))
- The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the European Patent Office in a counterpart application. (37 CFR §1.97(e)(1))
- The undersigned certifies that, after making reasonable inquiry, П he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

Louis Woo, Reg. No. 31,730

Law Offices of Louis Woo 717 North Fayette Street Alexandria, Virginia 22314 Phone: (703) 299-4090

Page 1 of 3 Atty Docket Serial No. 0124/0016 10/699,913 TRADEN' **FORM PTO-1449** Applicant Lan Bo et al. INFORMATION DISCLOSURE CITATION Filing Date Group Art Unit November 4, 2003 **U.S. PATENT DOCUMENTS** Examiner **Document** Sub-Filing Date Class Name Initial Number Class Date AA AB AC AD AΕ AF AG AH ΑI AJ AK FOREIGN PATENT DOCUMENTS Document Sub-Trans-Date Country Class Number Class lation AL **AM** AN AO AP OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Van Jacobson et al., "Congestion Avoidance and Control", ACM SIGCOMM '98, pp. 314-329, ACM, August 1988 (first page only) AR R. Rejaie et al., "RAP: An end-to-end rate-based congestion control mechanism for realtime streams in the Internet", Proc. IEEE INFOCOM, New York, March 1999 (first page only) AS S. McCanne et al., "Receiver-Driven Layered Multicast", Proc. ACM SIGCOMM '96, Standford, C.A., August 1996, pp. 117-130 (first page only) AT

Examiner Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.

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